

## CLAIMS

1     1.     An apparatus comprising:  
2             at least one processor;  
3             a memory coupled to the at least one processor;  
4             a database table residing in the memory; and  
5             a cardinality estimator residing in the memory and executed by the at least one  
6 processor, the cardinality estimator estimating cardinality of an intermediate dataset that  
7 satisfies a query to the database table in a manner that accounts for data skew in the  
8 database table.

1     2.     The apparatus of claim 1 further comprising a frequent values list residing in the  
2 memory that contains a list of values in the database table, each value having a  
3 corresponding frequency, wherein the cardinality estimator estimates the cardinality of  
4 the intermediate dataset by determining whether a frequency corresponding to a value  
5 exceeds a predetermined threshold, and if the frequency exceeds the predetermined  
6 threshold, accounting for the corresponding value, and if the frequency does not exceed  
7 the predetermined threshold, using a formula to estimate the cardinality of the  
8 intermediate dataset, the formula accounting for data skew in the database table by  
9 subtracting the frequency of all values above the predetermined threshold in the frequent  
10 value table that satisfy the query from the total number of columns in the database table.

1 3. The apparatus of claim 2 wherein the cardinality estimator estimates the  
2 cardinality  $Ca'$  of the intermediate dataset using the formula:

$$Ca' = P + M(1 - (1 - \frac{1}{M})^Y)$$

4 where

5                      M = Ca - (P+Q)

6 P = number of distinct values in the frequent values list above the  
7 predetermined threshold that satisfy the query;

8           Q = number of distinct values in the frequent values list above the  
9           predetermined threshold that do not satisfy the query;

10                    **Ca** = cardinality of the database table;

11  $Y = X - Fi;$

12                   X = number of rows in the intermediate dataset; and

13  $F_i$  = sum of frequencies of values in the frequent values list above the  
14 predetermined threshold that satisfy the query.

1 4. An apparatus comprising:  
2 at least one processor;  
3 a memory coupled to the at least one processor;  
4 a database table residing in the memory;  
5 a frequent values list residing in the memory that contains a list of values in the  
6 database table, each value having a corresponding frequency; and  
7 a cardinality estimator residing in the memory and executed by the at least one  
8 processor, the cardinality estimator estimating cardinality of the intermediate dataset  
9 using the following formula:

10 
$$Ca' = P + M(1 - (1 - \frac{1}{M})^Y)$$

11 where

12 
$$M = Ca - (P+Q)$$

13 P = number of distinct values in the frequent values list above the  
14 predetermined threshold that satisfy the query;

15 Q = number of distinct values in the frequent values list above the  
16 predetermined threshold that do not satisfy the query;

17 Ca = cardinality of the database table;

18 
$$Y = X - Fi;$$

19 X = number of rows in the intermediate dataset; and

20 Fi = sum of frequencies of values in the frequent values list above the  
21 predetermined threshold that satisfy the query.

1 5. A method for estimating cardinality of an intermediate dataset that results from  
2 processing a database query on a database table, the method comprising the steps of:  
3 (A) evaluating the query; and  
4 (B) estimating cardinality of the intermediate dataset using a formula that  
5 accounts for data skew in the database table.

1 6. The method of claim 5 wherein step (B) includes the steps of:  
2 selecting a value in a frequent values list that contains a list of values in the  
3 database table, each value having a corresponding frequency;  
4 if the selected value has a corresponding frequency that exceeds a predetermined  
5 threshold, incrementing the cardinality estimate by one; and  
6 if the frequency does not exceed the predetermined threshold, using a formula to  
7 estimate the cardinality of the intermediate dataset, the formula accounting for data skew  
8 in the database table by subtracting the frequency of all values above the predetermined  
9 threshold in the frequent value table that satisfy the query from the total number of  
10 columns in the database table.

1 7. The method of claim 6 wherein the cardinality estimator estimates the cardinality  
2 Ca' of the intermediate dataset in step (B) using the formula:

3 
$$Ca' = P + M(1 - (1 - \frac{1}{M})^Y)$$

4 where

5 
$$M = Ca - (P+Q)$$

6 P = number of distinct values in the frequent values list above the  
7 predetermined threshold that satisfy the query;

8 Q = number of distinct values in the frequent values list above the  
9 predetermined threshold that do not satisfy the query;

10 Ca = cardinality of the database table;

11 
$$Y = X - Fi;$$

12 X = number of rows in the intermediate dataset; and

13 Fi = sum of frequencies of values in the frequent values list above the  
14 predetermined threshold that satisfy the query.

- 1 8. A method for estimating cardinality of an intermediate dataset that results from  
2 processing a database query on a database table, the method comprising the steps of:  
3 (A) evaluating the query; and  
4 (B) estimating the cardinality  $Ca'$  of the intermediate dataset using the formula:

5 
$$Ca' = P + M(1 - (1 - \frac{1}{M})^Y)$$

6 where

7 
$$M = Ca - (P+Q)$$

8  $P$  = number of distinct values in the frequent values list above the  
9 predetermined threshold that satisfy the query;

10  $Q$  = number of distinct values in the frequent values list above the  
11 predetermined threshold that do not satisfy the query;

12  $Ca$  = cardinality of the database table;

13  $Y = X - Fi$ ;

14  $X$  = number of rows in the intermediate dataset; and

15  $Fi$  = sum of frequencies of values in the frequent values list above the  
16 predetermined threshold that satisfy the query.

- 1 9. A program product comprising:  
2 (A) cardinality estimator estimating cardinality of an intermediate dataset that  
3 satisfies a query to a database table in a manner that accounts for data skew in the  
4 database table; and  
5 (B) computer-readable signal bearing media bearing the cardinality estimator.
- 1 10. The program product of claim 9 wherein the computer-readable signal bearing  
2 media comprises recordable media.
- 1 11. The program product of claim 9 wherein the computer-readable signal bearing  
2 media comprises transmission media.
- 1 12. The program product of claim 9 wherein the cardinality estimator evaluates a  
2 frequent values list that contains a list of values in the database table, each value having a  
3 corresponding frequency, wherein the cardinality estimator estimates the cardinality of  
4 the intermediate dataset by determining whether a frequency corresponding to a value  
5 exceeds a predetermined threshold, and if the frequency exceeds the predetermined  
6 threshold, accounting for the corresponding value, and if the frequency does not exceed  
7 the predetermined threshold, using a formula to estimate the cardinality of the  
8 intermediate dataset, the formula accounting for data skew in the database table by  
9 subtracting the frequency of all values above the predetermined threshold in the frequent  
10 value table that satisfy the query from the total number of columns in the database table.

1 13. The program product of claim 12 wherein the cardinality estimator estimates the  
2 cardinality  $Ca'$  of the intermediate dataset using the formula:

3 
$$Ca' = P + M(1 - (1 - \frac{1}{M})^r)$$

4 where

5 
$$M = Ca - (P+Q)$$

6  $P$  = number of distinct values in the frequent values list above the  
7 predetermined threshold that satisfy the query;

8  $Q$  = number of distinct values in the frequent values list above the  
9 predetermined threshold that do not satisfy the query;

10  $Ca$  = cardinality of the database table;

11  $Y = X - Fi$ ;

12  $X$  = number of rows in the intermediate dataset; and

13  $Fi$  = sum of frequencies of values in the frequent values list above the  
14 predetermined threshold that satisfy the query.



1 14. A program product comprising:  
2 (A) a cardinality estimator that estimates cardinality of the intermediate using the  
3 following formula:

4 
$$Ca' = P + M(1 - (1 - \frac{1}{M})^Y)$$

5 where

6 
$$M = Ca - (P+Q)$$

7  $P$  = number of distinct values in the frequent values list above the  
8 predetermined threshold that satisfy the query;

9  $Q$  = number of distinct values in the frequent values list above the  
10 predetermined threshold that do not satisfy the query;

11  $Ca$  = cardinality of the database table;

12  $Y = X - Fi$ ;

13  $X$  = number of rows in the intermediate dataset; and

14  $Fi$  = sum of frequencies of values in the frequent values list above the  
15 predetermined threshold that satisfy the query; and

16 (B) computer-readable signal bearing media bearing the cardinality estimator.

1 15. The program product of claim 14 wherein the computer-readable signal bearing  
2 media comprises recordable media.

1 16. The program product of claim 14 wherein the computer-readable signal bearing  
2 media comprises transmission media.

\* \* \* \* \*